

COMMONWEALTH OF VIRGINIA



REQUEST FOR PROPOSAL (RFP) AND CONTRACT

RFP # 18-04RW

FOR APPRAISAL SERVICES

CONTRACT FOR PROJECT NO. 0606-088-654, RW 201, C-501; UPC 105464

PARCEL NUMBERS 004 and 005

PROPOSALS WILL BE RECEIVED UNTIL: 4:00 p.m. December 18, 2018

NAME OF CONSULTANT: _____

**COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION
RIGHT OF WAY AND UTILITIES DIVISION**

**REQUEST FOR PROPOSAL
APPRAISAL SERVICES**

ISSUE DATE: December 4, 2018

RFP # 18-04RW

VDOT PROJECT NO.: 0606-088-654, RW 201, C-501; UPC 105464

The Virginia Department of Transportation (“VDOT”) is requesting proposals (RFP) from prequalified appraisal firms that wish to be considered for providing appraisal services related to real estate being acquired by VDOT for the VDOT Project identified above.

All requests for information regarding this procurement should be directed to Mr. Neil Hord at (804) 786-4079.

Firms interested in being considered must submit one original and two copies of their completed proposal and any additional response, if deemed necessary, to:

Mrs. Lori A. Snider
State Right of Way Director
Right of Way Division
1401 East Broad Street
Richmond, Virginia 23219

It is the policy of the Virginia Department of Transportation that Disadvantaged Business Enterprises (“DBE”) as defined in 49 CFR Part 26 shall have the maximum opportunity to participate in the performance of federally funded contracts. A list of certified DBE firms is maintained on the Department of Small Business and Supplier Diversity’s web site (www.sbsd.virginia.gov) under the **DBE Directory of Certified Vendors**. Consultants are encouraged to take all necessary and reasonable steps to ensure that DBE firms have the maximum opportunity to compete for and perform services on the “Contract” (defined below), including participation in any subsequent supplemental contracts. If the consultant identified on the cover sheet of this Request for Proposal (the “Consultant”) intends to subcontract a portion of the services on the project, the Consultant is encouraged to seek out and consider DBE firms as potential subcontractors. The Consultant is encouraged to contact DBE firms to solicit their interest, capability and qualifications. Any agreement between a Consultant and a DBE firm whereby the DBE firm promises not to provide services to other consultants is prohibited.

Any DBE or Small, Woman-owned and Minority-owned business (“SWaM”) firm must become certified with the Department of Small Business and Supplier Diversity (“SBSD”) prior to your response being submitted. If a DBE or SWaM firm is the prime contractor, the firm will receive full credit for planned involvement of their own forces, as well as the work that they commit to be performed by DBE or SWaM subcontractors. DBE or SWaM prime contractors are encouraged to make the same outreach efforts as other contractors. DBE or SWaM credit will be awarded only for work actually being performed by them. When a DBE or SWaM prime contractor subcontracts work to another firm, the work counts toward DBE or SWaM goals only if the other firm is itself a DBE or SWaM. A DBE or SWaM prime contractor must perform or exercise responsibility for at least 30% of the total cost of its Contract with its own force.

DBE or SWaM certification entitles consultants to participate in VDOT’s DBE and SWaM programs. However, this certification does not guarantee that the firm will obtain VDOT work nor does it attest to the firm’s abilities to perform any particular work.

In compliance with this Request for Proposal (“RFP”) and all the conditions imposed herein, the undersigned consultant offers and agrees to furnish these services in accordance with the proposal.

Company Name and Address:

Telephone: _____

Date: _____

By: _____

Signature

Name: _____

Title: _____

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The consultant identified on the cover sheet of this Request for Proposal (“RFP”) (the “Consultant”) and the Commonwealth of Virginia Department of Transportation (“VDOT” or the “Department”) have expressed a mutual interest in establishing an agreeable framework for procuring appraisal services (the “Services”) for the VDOT Project and parcel numbers identified on the cover sheet of this RFP.

This request for proposal contains the terms under which the Consultant proposes to perform the Services, and if the Consultant’s proposal is accepted by the Department, includes the terms of the “Contract” (defined in Part IX below).

PART I PURPOSE AND INTENT

The purpose of this RFP is to solicit proposals through competitive negotiations for the services of an appraisal firm which will prepare appraisals for all parcels assigned under this RFP according to the laws, rules, regulations, policies, and procedures outlined in Part II hereof. It shall be the intent of the Contract that the Consultant, employing qualified, competent personnel, shall perform all services necessary to prepare appraisal reports, which may include current owner title rundowns, on the parcels identified on the cover sheet of this RFP.

PART II PROPOSAL PREPARATION AND SUBMISSION REQUIREMENTS

- A. RFP Response: To be considered for selection, the Consultant must submit a complete response to this RFP consisting of one (1) complete paper original (marked “Original”), and two (2) full paper copies (marked “Copy”). The Consultant’s proposal must be in a sealed envelope or package and addressed as directed on the cover/signature page of this RFP. Electronic responses are not acceptable.

- B. Each business entity (prime and subconsultants) proposed on the Contract and practicing or offering services in Virginia must provide evidence of copies of registrations and licenses for all main and branch offices proposed for the Contract, as well as full size copies of appropriate individual appraisal licenses. Full size copies of State Corporation Commission (SCC) and Department of Professional and Regulation (DPOR) registrations

should be included. The following registrations and licenses must be included in this submission:

1. The SCC registration detailing the name, registration number, type of business entity and status of the business entity.
2. The DPOR registration for the main office practicing or offering to practice any professional services in Virginia under the Contract detailing the business name, address, registration type, registration number, expiration date, and licensing details for the associated professional responsible in charge.
3. The DPOR registration for each branch office practicing or offering to practice professional services in Virginia under the Contract detailing the business name, business address, registration type, registration number, expiration date and licensing details for the associated professional responsible in charge of the branch office.
4. The DPOR license for each of the “Key Personnel” (defined in Part V, Section D below) practicing or offering to practice professional services in Virginia under the Contract detailing the name, the address, type, the registration number, and the expiration date. Provide the office location where each Key Personnel member will be performing the work.
5. The DPOR license for those regulated services other than professional services (i.e. real estate appraisal) under the Contract detailing the business name, the address, the registration type, the registration number, and the expiration date.

Failure to comply with the law with regard to those requirements in Virginia (whether federal or state) regarding your organizational structure, any required registration with governmental agencies and/or entities, and any required governmental licensure, whether business, individual, or professional in nature may render your submittal, in the sole and

reasonable discretion of the Department, non-responsive and in that event your submittal may not be considered or evaluated.

C. Proposal Preparation:

1. Proposals shall be submitted solely on the Scope of Work set forth in Section 2.00 of the Contract and are not to reflect any revisions to the Scope of Work made after the issue date of the RFP.
 - a. Proposals shall be signed by an authorized representative of the Consultant. All information requested should be submitted. Failure to submit all information requested may result in the Department requiring prompt submission of missing information and/or giving a lowered evaluation of the proposal. Proposals which are substantially incomplete, fail to meet mandatory requirements, or lack key information may be rejected by the Department. Mandatory requirements are those required by law or regulation or are such that they cannot be waived and are not subject to negotiation.
 - b. Proposals should be prepared simply and economically, providing a straightforward, concise description of capabilities to satisfy the requirements of the RFP. Emphasis should be placed on completeness and clarity of content.
 - c. Proposals should be organized in the order in which the requirements are presented in the RFP. All pages of the proposal should be numbered. Each paragraph in the proposal should reference the paragraph number of the corresponding section of the RFP. It is also helpful to repeat the paragraph number, subletter, and text of the requirement as it appears in the RFP. If a response covers more than one page, the paragraph number and subletter should be repeated at the top of the next page. The proposal should contain a table of contents which cross-references the RFP requirements. Information which the Consultant desires to present that does not fall within any of the requirements of

the RFP should be inserted at an appropriate place or be attached at the end of the proposal and designated as additional material. Proposals that are not organized in this manner risk elimination from consideration if the evaluators are unable to find where the RFP requirements are specifically addressed.

- d. As used in this RFP, the terms "must", "shall", "should" and "may" identify the degree to which requirements are critical. "Must" and "shall" identify requirements whose absence will have a major negative impact on the suitability of the proposed solution. Items labeled as "should" or "may" are highly desirable, although their absence will not have a large impact and would be useful, but are not necessary. Depending on the overall response to the RFP, some individual "must" and "shall" items may not be fully satisfied, but it is the intent to satisfy most, if not all, "must" and "shall" requirements. The inability of a Consultant to satisfy a "must" or "shall" requirement does not automatically remove that Consultant from consideration; however, it may seriously affect the overall rating of the Consultant's proposal. Once the Consultant and the Department have entered into the Contract, the terms "must", "shall", "should" and "may" where used in those portions of the RFP incorporated into the Contract shall have their ordinary meaning.
- e. Each copy of the proposal should be bound or contained in a single volume where practical (such as in a 3-ring binder). All documentation submitted with the proposal should be contained in that single volume, except the Small Business Subcontracting Plan (Attachment B), which should be provided in a separate envelope. Proposals should be formatted as follows:
 - i. 8½ x 11 inch page size (larger pages are allowed for figures or tables, but they must be folded into the overall proposal and used sparingly) with at least 11 point font.
- f. Ownership of all data, materials, and documentation originated and prepared for the Commonwealth pursuant to the RFP shall belong exclusively to the Commonwealth.

2. Oral Presentation: Offerors who submit a proposal in response to this RFP may be required to give an oral presentation of their proposal to the Department. This provides an opportunity for the Consultant to clarify or elaborate on the proposal. This is a fact finding and explanation session only and does not include negotiation. The Department will schedule the time and location of these presentations. Oral presentations are an option of the Department and may or may not be conducted.

D. SPECIFIC PROPOSAL INSTRUCTIONS:

Proposals should be as thorough and detailed as possible so that the Department may properly evaluate your capabilities to provide the required services. Offerors are required to submit the following items as a complete proposal (organized under the following Section Tabs):

TAB 1: COMMITMENT OF OFFEROR

1. Return the RFP Cover Sheet (Page 1) and all addenda acknowledgments, if any, signed by an authorized representative of the Consultant, and filled out as required;
2. Offeror shall submit a State Corporation Commission Form, Attachment D;
3. Offeror shall submit a Proprietary/Confidential Information Summary Form, Attachment E;
4. Indicate any item(s) where the proposal is not in compliance with RFP requirements and explain why the deviation should not be viewed detrimentally by VDOT;
5. Provide a statement that your firm accepts the Department's General Terms and Conditions attached as Attachment A, and Special Terms and Conditions attached as Attachment I, without modification or exception. It is the Consultant's responsibility to make all of its personnel aware of these requirements; and,

6. Conflict of Interest: Consultant shall identify any actual or perceived conflict of interest of Consultant or any subcontractor of Consultant with regard to the work to be provided under the Contract as defined by the State and Local Conflict of Interests Act (2.2-3100 *et seq.*).

TAB 2: EXPERIENCE AND QUALIFICATIONS OF FIRM

1. A written narrative statement to include or attach:
 - a. Experience of firm in providing the services described herein;
 - b. Qualifications of firm in providing the services described herein;
 - c. Consultant’s Firm Data Sheet (Attachment H); and
 - d. Subcontractor Approval Request (Attachment F), if needed.

TAB 3: EXPERIENCE AND QUALIFICATIONS KEY PERSONNEL

1. A written narrative statement meeting the requirements of Part III, Section D above, to include:
 - a. Names, experience and qualifications of Consultant’s essential personnel to be assigned to the Contract (“Key Personnel”);
 - b. Resumes of staff to be assigned to the project, if known.

In this tab the Consultant shall submit a list of all personnel and tasks to be performed by each under the Contract. If names of all personnel are not known at the time of filing the proposal, the consultant shall update the list and resumes as such personnel are identified.

TAB 4: SMALL BUSINESS SUBCONTRACTING PLAN

The Consultant shall submit Attachment B, Small Business Subcontracting Plan, and indicate its planned utilization of Virginia Department of Small Business and Supplier Diversity (“SBSD”) certified Disadvantaged Business Enterprise (“DBE”) or Small, Woman-owned and Minority-owned (“SWaM”) businesses under the resulting contract and Consultant’s status as a SBSD certified Disadvantaged Business Enterprise (“DBE”) or

Small, Woman-owned and Minority-owned (“SWaM”) business in accordance with instructions of Attachment B. It is preferable that the Small Business Subcontractor Plan be provided in a separate envelope within the proposal package.

TAB 5: PRICING

Complete the Fee Proposal (Attachment G). The Consultant is responsible for all costs of proposal preparation. VDOT is not liable for any cost incurred by an offeror in responding to the RFP.

PART III EVALUATION AND AWARD CRITERIA

A. Evaluation Criteria:

1. Qualifications and experience of personnel assigned this project in appraisal. 40%
2. The Consultant firm’s organization capability and ability to perform job on time. 25%
3. The Consultant firm’s present workload with VDOT. 10%
4. Planned participation of DBE or SWaM certified businesses (20%). Indicate on Tab 5 of your proposal if the firm is a business certified by the Department of Small Business and Supplier Diversity (SBSD) as a DBE or SWaM business or is eligible for certification.
5. Review and analysis of submitted Fee Proposal (“Attachment G”). 5%

B. Award: Selection shall be made of two or more offerors deemed to be fully qualified and best suited among those submitting proposals on the basis of the evaluation factors included in the Request for Proposals, including price, if so stated in the Request for Proposals. Negotiations shall be conducted with the offerors so selected. Price shall be considered, but need not be the sole determining factor. After negotiations have been conducted with each offeror so selected, the agency shall select the offeror which, in its opinion, has made the best proposal, and shall award the contract to that offeror. The Department may cancel this Request for Proposals or reject proposals at any time prior to an award, and is not required to furnish a statement of the reasons why a particular proposal was not deemed to

be the most advantageous (*Code of Virginia*, § 2.2-4359D). Should the Department determine in writing and in its sole discretion that only one offeror is fully qualified, or that one offeror is clearly more highly qualified than the others under consideration, a contract may be negotiated and awarded to that offeror. The award document will be a contract incorporating by reference all the requirements, terms and conditions of the solicitation and the contractor's proposal as negotiated.

- C. Multiple Awards: The Department reserves the right to make multiple awards as a result of this solicitation. The award(s) will be made to the lowest responsive and responsible bidder(s) meeting the requirements of the solicitation. The Department reserves the right to conduct any tests it may deem advisable and to make all evaluations. The Department also reserves the right to reject any or all bids, in whole or in part, to waive informalities and to delete items prior to making the award, whenever it is deemed in the sole opinion of the Department to be in its best interest.

- D. Protest: Any offeror who desires to protest the decision against an award of a contract shall submit such protest in writing to the Department no later than ten days after the announcement of the decision to award this contract to the selected consultant.

- E. Duration of Proposal: This proposal shall be binding upon the Offeror for ninety (90) days following the proposed due date. If the proposal is not withdrawn at that time, it remains in effect until an award is made or the solicitation is cancelled.

PART IV CONTRACT DOCUMENT

This Agreement, dated as of the _____ day of _____, 2018, by and between the Commonwealth of Virginia, Department of Transportation, whose office is in the City of Richmond in the Commonwealth of Virginia, hereafter referred to as the “Department” or “VDOT,” acting by and through its duly authorized Commissioner, party of the first part, and _____ with offices at _____, hereinafter referred to as the “Consultant,” party of the second part,

WITNESSETH: that the Consultant does hereby agree to perform the services required of it herein in exchange for the consideration to be provided by the Department as stated herein, all in connection with the work as hereinafter defined and described for the “Project” (defined below). When used herein, the term “Contract” shall mean this Agreement together with all documents attached hereto or incorporated by reference herein.

It is the policy of the Virginia Department of Transportation that Disadvantaged Business Enterprises (“DBE”) as defined in 49 CFR Part 26 shall have the maximum opportunity to participate in the performance of federally funded contracts. A list of certified DBE firms is maintained on the Department of Small Business and Supplier Diversity’s web site (www.sbsd.virginia.gov) under the **DBE Directory of Certified Vendors**. Consultants are encouraged to take all necessary and reasonable steps to ensure that DBE firms have the maximum opportunity to compete for and perform services on the “Contract” (defined below), including participation in any subsequent supplemental contracts. If the consultant identified on the cover sheet of this Request for Proposal (the “Consultant”) intends to subcontract a portion of the services on the project, the Consultant is encouraged to contact DBE firms to solicit their interest, capability and qualifications. Any agreement between a Consultant and a DBE firm whereby the DBE firm promises not to provide services to other consultants is prohibited.

Any DBE or Small, Woman-owned and Minority-owned business (“SWaM”) firm must become certified with the Department of Small Business and Supplier Diversity (“SBSD”) prior to your response being submitted. If a DBE or SWaM firm is the prime contractor, the firm will receive full credit for planned involvement of their own forces, as well as the work that they commit to be performed by DBE or SWaM subcontractors. DBE or SWaM prime contractors are encouraged to make the same outreach efforts as other contractors. DBE or SWaM credit will be awarded only for work actually being performed by them. When a DBE or SWaM prime contractor subcontracts work to another firm, the work counts toward DBE or SWaM goals only if the other firm is itself a DBE or SWaM. A DBE or SWaM prime contractor must perform or exercise responsibility for at least 30% of the total cost of its Contract with its own force.

DBE or SWaM certification entitles consultants to participate in VDOT’s DBE and SWaM programs. However, this certification does not guarantee that the firm will obtain VDOT work nor does it attest to the firm’s abilities to perform any particular work.

1.00 - DESCRIPTION AND LIMITS OF PROJECT

The Virginia Department of Transportation (VDOT) plans to improve **Route 606** (Mudd Tavern Road) in the **County of Spotsylvania**, which will necessitate the appraisal of two (2) parcels identified as Parcels **004** and **005** (each parcel so identified, a “Parcel”) on the VDOT plans for the following State Highway Project: **0606-088-654, RW-201, C-501**, UPC 105464 located **Fr: 0.176 Mile West of Route 1 To: 0.054 Mile West of I-95 SBL**, (the “Project”).

2.00 – SCOPE OF WORK

2.01

The Consultant agrees to perform the services as set forth herein and furnish and deliver to the Department an appraisal of the real property interests to be acquired for each Parcel, under the following terms and conditions: Appraisals for these parcels shall all be a Narrative Format Appraisal estimating the fair market value of the entire subject property before the acquisition, fair market value of the acquisition and fair market value of the remainder immediately after the acquisition and properly measuring damages and/or enhancements if any.

- (A) The Consultant shall make a detailed inspection of the real property of which the Parcel forms a part, and make such investigations and studies as are necessary to derive sound conclusions for the preparation of an appraisal report.
- (B) The Consultant, in estimating the value of each Parcel, shall conform to recognized appraisal principles and practices used in the evaluation of such Parcel and the property of which the Parcel forms a part, in accordance with the rulings of the judicial bodies having legal jurisdiction where the property is located and also in accordance with recognized principles and practices of the appraisal profession.
- (C) Upon completion of its inspection, investigations and studies, the Consultant shall prepare, furnish and electronically submit to the Department in PDF format its appraisal report for the Parcel.
- (D) The appraisal report shall in form and substance conform to recognized principles and practices of the appraisal profession and shall present adequate factual data to support the conclusions reached as to value in sufficient detail to permit the Department reviewer to follow and understand the conclusion reached by the Consultant.
- (E) The appraisal report shall be prepared in accordance with the provisions of Chapter 4 of the Manual of Instructions, Right of Way and Utilities Division, Virginia Department of Transportation and the Uniform Standards of Professional Appraisal Practice (USPAP). All comparable sales shall be placed on the VDOT Comparable Data Form and electronically submitted to the reviewer for approval.
- (F) The Consultant shall sign and execute the Appraiser Certification and/or Technical Review Appraisal, and attach a copy to the appraisal report.

- (G) The appraisal report shall be based upon the Department's plans for the design of the Project, showing areas of land and interests therein to be acquired by the Department, and showing each parcel designated by a parcel number. Copies of such plans will be furnished by the Department to the Consultant, and the individual appraisal report prepared by the Consultant shall make use of the parcel numbers where possible for proper reference. The Department shall designate in writing the individual parcels of real estate that constitute each property of which the Parcel forms a part.
- (H) All appraisal work performed by the Consultant must be completed by persons licensed in accordance with the licensing requirements set out in Section 54.1-2011 of the Code of Virginia (1950) as amended (the "Code"), and regulations adopted pursuant thereto and on the Virginia Department of Transportation Approved Fee Appraiser Panel as a Senior Appraiser.
- (I) The Department shall complete its review of each submitted appraisal within ten (10) business days of receipt thereof. Upon completion of the review, the Department will notify the Consultant that the appraisal has been reviewed and approved or will request the Consultant to provide additional information, which is to be submitted by the Consultant within ten (10) business days of receipt of the request. No additional fee shall be due for the Consultant's response. Once the additional information has been received by the Department, the Department will review the additional information and approve the appraisal or request additional information within ten (10) business days after receipt thereof.
- (J) The Consultant, through its appraisals, will be responsible for the following additional services: estimating and completing any sign appraisals (outdoor advertising or on-premises signs), furnishing parking loss estimates, and determining the fair market values of properties with contaminated soil. The cost of these additional services is not covered under the Contract. It is the responsibility of the Consultant to submit to the Department a request for approval of any additional cost associated with these services prior to beginning the work.
- (K) The Consultant warrants that it will perform its work under the Contract in a professional manner consistent with the standards of skill and care employed by similarly situated consultants in the area in which the work under the Contract is to be performed.

3.00 - COMMENCEMENT OF WORK AND TIME OF COMPLETION

3.01

No work shall be performed by the Consultant under the Contract until the Consultant has been given written notice to proceed ("NTP") by the Department.

3.02

Time being of the essence, the Consultant shall proceed with the appraisal of the parcels described above as directed by the Department in writing and will furnish and deliver the appraisal reports to the Department as soon as completed. The Consultant shall mail or deliver to the Department complete appraisal reports on or before the assignment due dates shown on Attachment J. If there are no assignment due dates shown on Attachment J, then the consultant shall mail or deliver a complete appraisal report on the first parcel assigned within **30** business days and deliver a complete appraisal report on the second parcel assigned within **60** business days after written notice to proceed has been given to the Consultant by the Department. All appraisals shall be submitted in the priority provided by the Department. Any variation of this sequencing must have the approval of VDOT's Project Manager and/or Consultant Contracting Manager.

3.03

If the work provided for under the Contract should be delayed by conditions beyond the control of the Consultant, and through no fault or negligence on its part, the Consultant may apply in writing for an extension of time because of such delay. If, in the opinion of the Commissioner or his duly authorized representative, a delay due to such cause is justified, the Consultant will be granted an extension of time, reasonably sufficient under relevant circumstances, to enable the Consultant to make up the progress lost as a result of the delay so caused.

4.00 - ERRORS

The performance of services or acceptance of the appraisal reports required hereunder shall not relieve the Consultant from obligation to correct any defective work subsequently discovered by the Department, and all incomplete, inaccurate or defective work shall be remedied by the Consultant on demand by, and without cost to, the Department.

5.00 - PAYMENT

The Consultant shall be paid the sum shown as "Appraisal Fee" on the Fee Proposal attached hereto as Attachment G for each complete appraisal report timely submitted, which shall constitute full compensation to the Consultant for that appraisal report. The total contract value for this contract will be \$_____. Such payment shall include costs of all supplies, material, equipment, clerical and stenographic assistance, and all other expenses incurred by the Consultant in completing the appraisal report. Statement for services shall be rendered to the Department at the completion of the appraisal report with the amount billed to bear the same individual report fee as set forth in the Fee Proposal. If the appraisal report is found not to be acceptable because of defects in workmanship or failure to comply with Section 2.00 above, payment will be withheld until corrections are made and the report is found to be acceptable. Such statements or invoices shall be sent to the Department at the following address: **Virginia Department of Transportation, Right of Way Division, 1401 E. Broad Street, Richmond, Virginia 23219, Attn: Mr. Neil Hord.**

6.00 – PARTICIPATION IN EMINENT DOMAIN LITIGATION

In the event that the testimony of the Consultant is required in any legal proceedings in connection with the acquisition by the Department of any of the properties, the Consultant agrees to appear as a witness on behalf of the Department based on a per diem fee set by the DEPARTMENT, for each eight-hour day's preparation for or attendance in court and one-fourth of this sum for each two hours or fraction thereof. The Consultant shall keep such records with respect to each appraisal as may be required in connection with such preparation or testimony. The said per diem rate is also applicable when the Department requires revisions, updates and/or modifications of any appraisal.

7.00 - TERMINATION

7.01 - TERMINATION WITHOUT CAUSE

The Department reserves the right to terminate the Contract, in whole or in part, at any time upon fifteen (15) days advance written notice to the Consultant, by the end of which period the Consultant shall, unless the notice directs otherwise, discontinue all work and services.

If the Contract is so terminated, the Consultant shall be paid for the actual cost of work and services performed up to the date of termination and all work completed or partially completed by the Consultant at the date of termination shall be delivered to the Department.

7.02 - TERMINATION FOR CAUSE

Without advance written notice, the Department may terminate the Contract due to the failure of the Consultant to fulfil its obligations under the Contract, and the Department may take over the work and services and prosecute the same to completion by further agreement or otherwise, and the Consultant shall be liable to the Department for any excess cost occasioned to the Department thereby.

8.00 - EMPLOYMENT OF DEPARTMENT PERSONNEL

The Consultant shall not engage the services of any person or persons in the employment of the Department on any work covered by the Contract without written permission of the Department. In no event shall any person employed by the Department receive any remuneration for any work covered by the Contract.

9.00 - MISCELLANEOUS

The Contract shall inure to the benefit of and shall be binding upon the personal representatives and legal successors of the respective parties hereto. Nothing in the Contract shall

inure to the benefit of any third party that is not a signatory, or a successor in interest to a signatory, to the Contract.

10.00 – CONTRACT DOCUMENTS

The “Contract Documents” shall consist of:

- (1) This Agreement
- (2) Certification of Consultant (attached)
- (3) General Terms and Conditions (Attachment A)
- (4) Small Business Subcontracting Plan (Attachment B)
- (5) SWaM Compliance Report (Attachment C)
- (6) State Corporation Commission Form (Attachment D)
- (7) Proprietary/Confidential Information Summary Form (Attachment E)
- (8) Subcontractor Approval Request (Attachment F)
- (9) Fee Proposal (Attachment G)
- (10) Firm Data Sheet (Attachment H)
- (11) Special Terms and Conditions (Attachment I)
- (12) Appraisal Due Dates (Attachment J)
- (13) USDOT 1050.2A – (Appendix A)
- (14) USDOT 1050.2A (Appendix E)
- (15) VDOT Title VI Evaluation Form (Appendix F)

The Contract Documents are hereby incorporated into this Contract as if fully set forth herein.

IN WITNESS WHEREOF the parties have hereunto set their hands as of the day and year first above written.

Department:

COMMONWEALTH OF VIRGINIA
DEPARTMENT OF TRANSPORTATION

By: _____
Lori A. Snider
Director, Right of Way & Utilities Division

Date: _____

Consultant:

_____,
Name of Firm

By: _____

Name: _____

Title: _____

Date: _____

CERTIFICATION OF CONSULTANT

I hereby certify that I am the _____ and duly authorized representative of the firm of _____, whose address is _____, and that neither I nor the above firm I here represent has

- a. employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me or the above consultant) to solicit or secure this contract;
- b. agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out the contract;
- c. paid, or agreed to pay, to any firm, organization or person (other than a bona fide employee working solely for me or the above consultant) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the contract, except as here expressly stated (if any);
- d. paid, or agreed to pay, federally appropriated funds to any person for influencing or attempting to influence an officer or employee of any federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement. If any funds other than federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the firm shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," according to its instructions. The firm shall require that the language of this certification be included in the award documents for all subawards at

**CERTIFICATION OF THE VIRGINIA
DEPARTMENT OF TRANSPORTATION**

I hereby certify that I am the **State Right of Way and Utilities Director** of the Virginia Department of Transportation of the Commonwealth of Virginia, and that the above consulting firm or his representative has not been required, directly or indirectly as an express or implied condition in connection with obtaining or carrying out this contract, to

- (a) employ or retain, or agree to employ or retain, any firm or person, or
- (b) pay, or agree to pay, to any firm, person, or organization, any fee, contribution, donation, or consideration of any kind; except as here expressly stated (if any).

I acknowledge that this certificate is to be furnished the Federal Highway Administration, U. S. Department of Transportation, in connection with this contract involving participation of federal-aid highway funds, and is subject to applicable state and federal laws, both criminal and civil.

(DATE)

State Right of Way and Utilities Director

ATTACHMENT A

GENERAL TERMS AND CONDITIONS:

- A. **VENDORS MANUAL:** This solicitation is subject to the provisions of the Commonwealth of Virginia *Vendors Manual* and any changes or revisions thereto, which are hereby incorporated into this contract in their entirety. The procedure for filing contractual claims is in section 7.19 of the *Vendors Manual*. A copy of the manual is normally available for review at the purchasing office and is accessible on the Internet at www.eva.virginia.gov under “Vendors Manual” on the vendors tab.
- B. **APPLICABLE LAWS AND COURTS:** This solicitation and any resulting contract shall be governed in all respects by the laws of the Commonwealth of Virginia and any litigation with respect thereto shall be brought in the courts of the Commonwealth. The Department and the Consultant are encouraged to resolve any issues in controversy arising from the award of the contract or any contractual dispute using Alternative Dispute Resolution (ADR) procedures (*Code of Virginia*, § 2.2-4366). ADR procedures are described in Chapter 9 of the *Vendors Manual*. The Consultant shall comply with all applicable federal, state and local laws, rules and regulations.
- C. **ANTI-DISCRIMINATION:** By submitting their proposals, offerors certify to the Commonwealth that they will conform to the provisions of the Federal Civil Rights Act of 1964, as amended, as well as the Virginia Fair Employment Contracting Act of 1975, as amended, where applicable, the Virginians With Disabilities Act, the Americans With Disabilities Act and § 2.2-4311 of the *Virginia Public Procurement Act (VPPA)*. If the award is made to a faith-based organization, the organization shall not discriminate against any recipient of goods, services, or disbursements made pursuant to the contract on the basis of the recipient's religion, religious belief, refusal to participate in a religious practice, or on the basis of race, age, color, gender or national origin and shall be subject to the same rules as other organizations that contract with public bodies to account for the use of the funds provided; however, if the faith-based organization segregates public funds into separate accounts, only the accounts and programs funded with public funds shall be subject to audit by the public body. (*Code of Virginia*, § 2.2-4343.1E).

1. During the performance of this contract, the Consultant agrees as follows:

- a. The Consultant will not discriminate against any employee or applicant for employment because of race, religion, color, sex, national origin, age, disability, or any other basis prohibited by state law relating to discrimination in employment, except where there is a bona fide occupational qualification reasonably necessary to the normal operation of the Consultant. The Consultant agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause.
- b. The Consultant, in all solicitations or advertisements for employees placed by or on behalf of the contractor, will state that the Consultant is an equal opportunity employer.
- c. Notices, advertisements and solicitations placed in accordance with federal law, rule or regulation shall be deemed sufficient for the purpose of meeting these requirements.

2. The Consultant will include the provisions of Section C.1. above in every subcontract or purchase order over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.

D. **ETHICS IN PUBLIC CONTRACTING:** By submitting their proposals, offerors certify that their proposals are made without collusion or fraud and that they have not offered or received any kickbacks or inducements from any other offeror, supplier, manufacturer or subcontractor in connection with their proposal, and that they have not conferred on any public employee having official responsibility for this procurement transaction any payment, loan, subscription, advance, deposit of money, services or anything of more than nominal value, present or promised, unless consideration of substantially equal or greater value was exchanged.

E. **IMMIGRATION REFORM AND CONTROL ACT OF 1986:** By entering into a written contract with the Commonwealth of Virginia, the Consultant certifies that the Consultant does not, and shall not during the performance of the contract for goods and services in the Commonwealth, knowingly employ an unauthorized alien as defined in the federal Immigration Reform and Control Act of 1986.

F. **DEBARMENT STATUS:** By participating in this procurement, the Consultant certifies that it is not currently debarred by the Commonwealth of Virginia from submitting a response for the type of goods and/or services covered by this solicitation. The Consultant further certifies that it is not debarred from

filling any order or accepting any resulting order, or that it is an agent of any person or entity that is currently debarred by the Commonwealth of Virginia.

G. **ANTITRUST:** By entering into a contract, the Consultant conveys, sells, assigns, and transfers to the Commonwealth of Virginia all rights, title and interest in and to all causes of action it may now have or hereafter acquire under the antitrust laws of the United States and the Commonwealth of Virginia, relating to the particular goods or services purchased or acquired by the Commonwealth of Virginia under said contract.

H. **MANDATORY USE OF STATE FORM AND TERMS AND CONDITIONS FOR RFPs:**

Failure to submit a proposal on the official state form provided for that purpose may be a cause for rejection of the proposal. Modification of or additions to the General Terms and Conditions of the solicitation may be cause for rejection of the proposal; however, the Commonwealth reserves the right to decide, on a case by case basis, in its sole discretion, whether to reject such a proposal.

I. **CLARIFICATION OF TERMS:** If any prospective offeror has questions about the specifications or other solicitation documents, the prospective offeror should contact the buyer whose name appears on the face of the solicitation no later than five working days before the due date. Any revisions to the solicitation will be made only by addendum issued by the buyer.

J. **PAYMENT:**

1. **To Consultant:**

- a. Invoices for work product ordered, delivered and accepted shall be submitted by the Consultant directly to the payment address shown on the Contract. All invoices shall show the state contract number and purchase order number, if any; social security number (for individual contractors) or the federal employer identification number (for proprietorships, partnerships, and corporations); itemized quantities, unit prices and extended costs based on the Rate Schedule. No payment will be made for unsatisfactory work or for work in progress on the prescribed payment dates.

- b. Any payment terms requiring payment in less than 30 days will be regarded as requiring payment 30 days after invoice or delivery, whichever occurs last. This shall not affect offers of discounts for payment in less than 30 days, however.
- c. Monthly Partial Billings. Monthly partial billings will be submitted as established in the Contract. When the net receivable amount is less than \$500.00, no partial payment will be made and the value of such work shall be carried over to the next monthly billing. Monthly partial payments will be made for the services outlined based on the sum of the Consultant's statement of actual costs incurred. Billings shall be submitted no more frequently than once every 30 calendar days.
- d. All services provided under the Contract or a purchase order hereunder, that are to be paid for with public funds, shall be billed by the Consultant at the contract price, regardless of which public agency is being billed.
- e. The following shall be deemed to be the date of payment: the date of postmark in all cases where payment is made by mail, or the date of offset when offset proceedings have been instituted as authorized under the Virginia Debt Collection Act.
- f. Unreasonable Charges. Under certain emergency procurements and for most time and material purchases, final job costs cannot be accurately determined at the time orders are placed. In such cases, the Consultant should be put on notice that final payment in full is contingent on a determination of reasonableness with respect to all invoiced charges. Charges which appear to be unreasonable will be researched and challenged, and that portion of the invoice held in abeyance until a settlement can be reached. Upon determining that invoiced charges are not reasonable, the Commonwealth shall promptly notify the Consultant, in writing, as to those charges which it considers unreasonable and the basis for the determination. The Consultant may not institute legal action unless a settlement cannot be reached within thirty (30) days of notification. The provisions of this section do not relieve an agency of its prompt payment obligations with respect to those charges which are not in dispute (*Code of Virginia*, § 2.2-4363).

2. To Subcontractors:

- a. An offeror awarded a contract under this solicitation is hereby obligated:
 - (1) To pay the subcontractor(s) within seven (7) days of the Consultant's receipt of payment from the Commonwealth for the proportionate share of the payment received for work performed by the subcontractor(s) under the Contract; or
 - (2) To notify VDOT and the subcontractor(s), in writing, of the Consultant's intention to withhold payment and the reason.
 - b. The Consultant is obligated to pay the subcontractor(s) interest at the rate of one percent per month (unless otherwise provided under the terms of the contract) on all amounts owed by the Consultant that remain unpaid seven (7) days following receipt of payment from the Commonwealth, except for amounts withheld as stated in (2) above. The date of mailing of any payment by U. S. Mail is deemed to be payment to the addressee. These provisions apply to each sub-tier contractor performing under the primary contract. The Consultant's obligation to pay an interest charge to a subcontractor may not be construed to be an obligation of the Commonwealth.
3. Each prime contractor who wins an award in which provision of a SWaM procurement plan is a condition to the award, shall deliver to the contracting agency or institution, on or before request for final payment, evidence and certification of compliance (subject only to insubstantial shortfalls and to shortfalls arising from subcontractor default) with the SWaM procurement plan. Final payment under the contract in question may be withheld until such certification is delivered and, if necessary, confirmed by the agency or institution, or other appropriate penalties may be assessed in lieu of withholding such payment.
4. The Commonwealth of Virginia encourages the Consultant and its subcontractors to accept electronic and credit card payments.

K. **PRECEDENCE OF TERMS:** The following General Terms and Conditions *VENDORS MANUAL*, *APPLICABLE LAWS AND COURTS*, *ANTI-DISCRIMINATION*, *ETHICS IN PUBLIC CONTRACTING*, *IMMIGRATION REFORM AND CONTROL ACT OF 1986*, *DEBARMENT STATUS*, *ANTITRUST*, *MANDATORY USE OF STATE FORM AND TERMS AND CONDITIONS*, *CLARIFICATION OF TERMS*, *PAYMENT* shall apply in all instances. In the event there is a conflict

between any of the other General Terms and Conditions and any Special Terms and Conditions in this solicitation, the Special Terms and Conditions shall apply.

- L. **QUALIFICATIONS OF OFFERORS:** The Commonwealth may make such reasonable investigations as deemed proper and necessary to determine the ability of the offeror to perform the services and the offeror shall furnish to the Commonwealth all such information and data for this purpose as may be requested. The Commonwealth reserves the right to inspect offeror's physical facilities prior to award to satisfy questions regarding the offeror's capabilities. The Commonwealth further reserves the right to reject any proposal if the evidence submitted by, or investigations of, such offeror fails to satisfy the Commonwealth that such offeror is properly qualified to carry out the obligations of the Contract and to provide the services contemplated therein.
- M. **TESTING AND INSPECTION:** The Commonwealth reserves the right to conduct any test/inspection it may deem advisable to assure goods and services conform to the specifications.
- N. **ASSIGNMENT OF CONTRACT:** The Contract shall not be assignable by the Consultant in whole or in part without the written consent of the Commonwealth.
- O. **CHANGES TO THE CONTRACT:** Changes can be made to the Contract in any of the following ways:
1. The parties may agree in writing to modify the terms, conditions, or scope of the Contract. Any additional goods or services to be provided shall be of a sort that is ancillary to the contract goods or services, or within the same broad product or service categories as were included in the Contract award. Any increase or decrease in the price of the Contract resulting from such modification shall be agreed to by the parties as a part of their written agreement to modify the scope of the Contract.
 2. The Department may order changes within the general scope of the Contract at any time by written notice to the Consultant. Changes within the scope of the Contract include, but are not limited to, things such as services to be performed, the method of packing or shipment, and the place of delivery or installation. The Consultant shall comply with the notice upon receipt, unless the Consultant intends to claim an adjustment to compensation, schedule, or other contractual impact that would be caused by complying with such notice, in which case the Consultant shall, in writing, promptly notify the Department of the adjustment to be sought, and before proceeding to comply with the notice, shall

await the Department's written decision affirming, modifying, or revoking the prior written notice. If the Department decides to issue a notice that requires an adjustment to compensation, the Consultant shall be compensated for any additional costs incurred as the result of such order and shall give the Department a credit for any savings. Said compensation shall be determined by one of the following methods:

- a. By mutual agreement between the parties in writing; or
- b. By agreeing upon a unit price or using a unit price set forth in the Contract, if the work to be done can be expressed in units, and the Consultant accounts for the number of units of work performed, subject to the Department's right to audit the Consultant's records and/or to determine the correct number of units independently; or
- c. By ordering the Consultant to proceed with the work and keep a record of all costs incurred and savings realized. A markup for overhead and profit may be allowed if provided by the Contract. The same markup shall be used for determining a decrease in price as the result of savings realized. The Consultant shall present the Department with all vouchers and records of expenses incurred and savings realized. The Department shall have the right to audit the records of the Consultant as it deems necessary to determine costs or savings. Any claim for an adjustment in price under this provision must be asserted by written notice to the Department within thirty (30) days from the date of receipt of the written order from the Department. If the parties fail to agree on an amount of adjustment, the question of an increase or decrease in the contract price or time for performance shall be resolved in accordance with the procedures for resolving disputes provided by the Disputes Clause of the Contract or, if there is none, in accordance with the disputes provisions of the Commonwealth of Virginia *Vendors Manual*. Neither the existence of a claim nor a dispute resolution process, litigation or any other provision of the Contract shall excuse the Consultant from promptly complying with the changes ordered by the Department or with the performance of the Contract generally.

P. **DEFAULT:** In case of failure to deliver services in accordance with the Contract, the Department, after due oral or written notice, may procure them from other sources and hold the Consultant responsible for any resulting additional purchase and administrative costs. This remedy shall be in addition to any other remedies which the Department may have.

Q. **INSURANCE:** By signing and submitting a bid or proposal under this solicitation, the offeror certifies that if awarded the contract, it will have the following insurance coverage at the time the contract is awarded. The offeror further certifies that the contractor and any subcontractors will maintain these insurance coverages during the entire term of the Contract and that all insurance coverage will be provided by insurance companies authorized to sell insurance in Virginia by the Virginia State Corporation Commission.

MINIMUM INSURANCE COVERAGES AND LIMITS REQUIRED FOR MOST CONTRACTS:

1. Workers' Compensation - Statutory requirements and benefits. Coverage is compulsory for employers of three or more employees, to include the employer. If the Consultant fails to notify the Commonwealth of an increase in the number of employees that change its workers' compensation requirements under the *Code of Virginia* during the course of the Contract, the Consultant shall be in noncompliance with the Contract.
2. Employer's Liability - \$100,000.
3. Commercial General Liability - \$1,000,000 per occurrence and \$2,000,000 in the aggregate. Commercial General Liability is to include bodily injury and property damage, personal injury and advertising injury, products and completed operations coverage. The Commonwealth of Virginia must be named as an additional insured and so endorsed on the policy.
4. Automobile Liability - \$1,000,000 combined single limit. (Required only if a motor vehicle not owned by the Commonwealth is to be used in the Contract. The Consultant must assure that the required coverage is maintained by the Consultant (or third party owner of such motor vehicle.)

R. **ANNOUNCEMENT OF AWARD:** Upon the award or the announcement of the decision to award a contract as a result of this solicitation, the purchasing agency will publicly post such notice on the DGS/DPS eVA VBO (www.eva.virginia.gov) for a minimum of 10 days.

S. **DRUG-FREE WORKPLACE:** During the performance of this Contract, the Consultant agrees to (i) provide a drug-free workplace for the Consultant's employees; (ii) post in conspicuous places, available to employees and applicants for employment, a statement notifying employees that the unlawful

manufacture, sale, distribution, dispensation, possession, or use of a controlled substance or marijuana is prohibited in the Consultant's workplace and specifying the actions that will be taken against employees for violations of such prohibition; (iii) state in all solicitations or advertisements for employees placed by or on behalf of the Consultant that the Consultant maintains a drug-free workplace; and (iv) include the provisions of the foregoing clauses in every subcontract or purchase order of over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.

For the purposes of this section, "*drug-free workplace*" means a site for the performance of work done in connection with a specific contract awarded to a contractor, the employees of whom are prohibited from engaging in the unlawful manufacture, sale, distribution, dispensation, possession or use of any controlled substance or marijuana during the performance of the contract.

T. **NONDISCRIMINATION OF CONTRACTORS:** An offeror shall not be discriminated against in the solicitation or award of the Contract because of race, religion, color, sex, national origin, age, disability, faith-based organizational status, any other basis prohibited by state law relating to discrimination in employment or because the offeror employs ex-offenders unless the Department has made a written determination that employing ex-offenders on the specific contract is not in its best interest. If the award of the Contract is made to a faith-based organization and an individual, who applies for or receives goods, services, or disbursements provided pursuant to the Contract objects to the religious character of the faith-based organization from which the individual receives or would receive the goods, services, or disbursements, the Department shall offer the individual, within a reasonable period of time after the date of his objection, access to equivalent goods, services, or disbursements from an alternative provider.

U. **eVA BUSINESS-TO-GOVERNMENT VENDOR REGISTRATION, CONTRACTS, AND ORDERS:** The eVA Internet electronic procurement solution, website portal www.eVA.virginia.gov, streamlines and automates government purchasing activities in the Commonwealth. The eVA portal is the gateway for vendors to conduct business with state agencies and public bodies. All vendors desiring to provide goods and/or services to the Commonwealth shall participate in the eVA Internet e-procurement solution by completing the free eVA Vendor Registration. All offerors must register in eVA and pay the Vendor Transaction Fees specified below; failure to register will result in the proposal being rejected.

Vendor transaction fees are determined by the date the original purchase order is issued and the current fees are as follows:

- a. The Vendor Transaction Fee is:
 - (i) SBSB-certified Small Businesses: 1%, capped at \$500 per order.
 - (ii) Businesses that are not SBSB-certified Small Businesses: 1%, capped at \$1,500 per order.

The specified vendor transaction fee will be invoiced, by the Commonwealth of Virginia Department of General Services, approximately 60 days after the corresponding purchase order is issued and payable 30 days after the invoice date. Any adjustments (increases/decreases) will be handled through purchase order changes.

- V. **AVAILABILITY OF FUNDS:** It is understood and agreed between the parties herein that the Department shall be bound hereunder only to the extent of the funds available or which may hereafter become available for the purpose of the Contract.

- W. **SET-ASIDES.** This solicitation is set-aside for award priority to SBSB-certified micro businesses or small businesses when designated “Micro Business Set-Aside Award Priority” or “Small Business Set-Aside Award Priority” accordingly in the solicitation. SBSB-certified micro business or small businesses this include SBSB-certified women-owned and minority-owned businesses when they have received the SBSB small business certification. For purposes of award, offerors shall be deemed micro businesses or small businesses if and only if they are certified as such by SBSB on the due date for receipt of proposals.

- X. **BID PRICE CURRENCY:** Unless stated otherwise in the solicitation, offerors shall state offer prices in US dollars.

- Y. **AUTHORIZATION TO CONDUCT BUSINESS IN THE COMMONWEALTH:** A contractor organized as a stock or nonstock corporation, limited liability company, business trust, or limited partnership or registered as a registered limited liability partnership shall be authorized to transact business in the Commonwealth as a domestic or foreign business entity if so required by Title 13.1 or Title 50 of the *Code of Virginia* or as otherwise required by law. Any business entity described above that enters into a contract with a public body pursuant to the *Virginia Public Procurement Act* shall not allow its existence to lapse or its certificate of authority or registration to transact business in the Commonwealth, if so required under Title 13.1 or Title 50, to be revoked or cancelled at any time during the term of the contract.

A public body may void any contract with a business entity if the business entity fails to remain in compliance with the provisions of this section.

ATTACHMENT B

SMALL BUSINESS SUBCONTRACTING PLAN

This Attachment must be completed by all offerors.

All small businesses must be certified by the Commonwealth of Virginia, Department of Small Business and Supplier Diversity (SBSD) by the due date of the solicitation to participate in the SWaM program. Certification applications are available through SBSB online at <http://sbsd.virginia.gov/> (Customer Service).

It is the goal of the Commonwealth that more than 42% of its purchases be made from small businesses. All potential bidders are required to submit a Small Business Subcontracting Plan.

Small Business: "Small business (including micro)" means a business which holds a certification as such by the Virginia Department of Small Business and Supplier Diversity (SBSD) on the due date for proposals. This shall also include SBSB-certified women- and minority-owned businesses when they also hold a SBSB certification as a small business on the proposal due date. Currently, SBSB offers small business certification and micro business designation to firms that qualify under the definitions below.

Certification applications are available through SBSB online at www.sbsd.virginia.gov (Customer Service).

Offeror Name: _____

Preparer Name: _____ **Date:** _____

Instructions

- A. If you are certified by the SBSB as a micro/small business, complete only Section A of this form. This includes but is not limited to SBSB-certified women-owned and minority-owned businesses when they have also received SBSB small business certification.
- B. If you are not a SBSB-certified small business, complete Section B of this form. For the offeror to receive credit for the small business subcontracting plan evaluation criteria, the offeror shall identify the portions of

the contract that will be subcontracted to SBSD-certified small business for the initial contract period in Section B.

Offerors which are small businesses themselves will receive the maximum available points for the small business participation plan evaluation criterion, and do not have any further subcontracting requirements.

Offerors which are not certified small businesses will be assigned points based on proposed expenditures with SBSD-certified small businesses for the initial contract period in relation to the offeror's total price for the initial contract period.

Points will be assigned based on each offeror's proposed subcontracting expenditures with SBSD certified small businesses for the initial contract period as indicated in Section B in relation to the offeror's total price.

Section A

If your firm is certified by the Department of Small Business and Supplier Diversity (SBSD), provide your certification number and the date of certification):

Certification Number: _____ Expiration Date: _____

ATTACHMENT B

SMALL BUSINESS SUBCONTRACTING PLAN

Section B

Populate the table below to show your firm's plans for utilization of SBSB-certified small businesses in the performance of the Contract for the initial contract period in relation to the bidder's total price for the initial contract period. Certified small businesses include but are not limited to SBSB-certified women-owned and minority-owned businesses that have also received the SBSB small business certification. Include plans to utilize small businesses as part of joint ventures, partnerships, subcontractors, suppliers, etc. It is important to note that these proposed participation will be incorporated into the subsequent contract and will be a requirement of the Contract. Failure to obtain the proposed participation percentages may result in breach of the Contract.

B. Plans for Utilization of SBSB-Certified Small Businesses for this Procurement

Micro/Small Business Name & Address SWaM Certificate # and Expiration Date	Status if Micro/Small Business is also: Women (W), Minority (M)	Contact Person, Telephone & Email	Type of Goods and/or Services	Planned Contract Dollars During Initial Period of the Contract (\$ or %)
Totals \$				

ATTACHMENT C
DEPARTMENT OF TRANSPORTATION
INSTRUCTIONS FOR
SWaM COMPLIANCE REPORT (ASD-63)

The Consultant is required to submit a SWaM Compliance Report to the VDOT Project Manager on payments made to all subcontractors as specified in Small Business Subcontracting Plan in the Special Terms & Condition to include Small, Women-owned and Minority-owned Business Enterprises (SWaM) certified by Virginia Department of Small Business and Supplier Diversity (SBSD) and non- SWaM businesses for the designated quarterly reporting period if required. All amounts paid to certified SWaM businesses are subject to monitoring and enforcement mechanisms. It is the responsibility of the Consultant to provide evidence of SWaM payments in response to the small business plan provided in the solicitation for the Contract.

The instructions below correspond to each item on the report. Please follow the instructions.

1. **Contractor/ Tax I.D. No.** enter the complete name of the prime contractor and their federal tax identification number.
 - 1a. **Contract Name** indicate the name of the contract as it appears on contract documents
 - 1b. **District** indicate the VDOT responsible district where the contract is being performed. See list of districts in these instructions
 - 1c. **Contract No.** provide contract number
2. **Period Ending** indicate the reporting period based on the Reporting Schedule listed in these instructions
3. **Subcontractor/Vendor Telephone Number and Certification Number** enter the names of all subcontractors and suppliers that participate on this contract whether SWaM or not if required. For SWaM vendors please provide the certification number provided by the Virginia Department of Small Business and Supplier Diversity (SBSD)
4. **Tax I.D. No.** insert the tax identification number of the vendor that appears in the preceding column

5. **SWaM Category S,W,M, None** indicate the SWaM status of each vendor identified as a subcontractor or vendor. This number is issued by SBSB and can be located on their website at www.SBSB.virginia.gov.
6. **Subcontract Amount** indicate the subcontract amount for any vendor listed on this form.
7. **Subcontractor Payment** this section identifies the prime expenditures to vendors listed on this form for SWaM vendors on contracts valued at or above \$100,000 and non-SWaM vendors for contracts valued at or above \$200,000.
- 7a. **This Quarter** indicate the amount paid to each subcontractor per reporting period. If no payments were made during this period enter \$0.
- 7b. **Year to Date** summarizes all payments made to the vendor to date.
8. **Type of Work or Commodity** indicate scope of work or commodity acquired from the subcontractor

Effective October 5, 2007 all Form ASD-63's for a particular reporting period shall be submitted preferably in an electronic format to the contract officer or responsible district personnel by the dates of each calendar year.

REPORTING SCHEDULE

QUARTER	REPORTING PERIOD	DATE DUE TO CONTRACT OFFICER
1 st	July 1 – September 30	Five(5) working days after the reporting period
2 nd	October 1 – December 31	Five(5) working days after the reporting period
3 rd	January 1 - March 31	Five(5) working days after the reporting period
4 th	April 1 – June 30	Five(5) working days after the reporting period

If the submittal date falls on a weekend/holiday, the forms shall be submitted to the VDOT contract officer or responsible district personnel on the following business day.

ATTACHMENT C

SWaM COMPLIANCE REPORT

(1) Contractor/Tax I.D. No.

Page _____ of _____

(1a) Contract Name

(1c) Contract No. _____

(2) Period Ending

(1b) District

(3) Subcontractor/ Vendor Tele No., Certification No.	(4) Tax I.D. No.	(5) SWaM Category S, W, M, None	(6) Sub- Contract Amount	(7) Subcontractor Payment		(8) Type of Work or Commodity
				(7a) This Quarter	(7b) To Date	

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All amounts paid to subcontractors/vendors are to be reported and **submitted by the 5th business day after the end of each quarter** to the Contract Officer. See instructions.

I/We under penalty of law that the information provided herein is accurate, current and complete to the best of my/our knowledge.

Signature and Title of Company Official _____

Date _____

ATTACHMENT D

State Corporation Commission Form

Virginia State Corporation Commission (SCC) registration information. The offeror:

is a corporation or other business entity with the following SCC identification number:

_____ **-OR-**

is not a corporation, limited liability company, limited partnership, registered limited liability partnership, or business trust **-OR-**

is an out-of-state business entity that does not regularly and continuously maintain as part of its ordinary and customary business any employees, agents, offices, facilities, or inventories in Virginia (not counting any employees or agents in Virginia who merely solicit orders that require acceptance outside Virginia before they become contracts, and not counting any incidental presence of the offeror in Virginia that is needed in order to assemble, maintain, and repair goods in accordance with the contracts by which such goods were sold and shipped into Virginia from offeror's out-of-state location) **-OR-**

is an out-of-state business entity that is including with this proposal an opinion of legal counsel which accurately and completely discloses the undersigned offeror's current contacts with Virginia and describes why those contacts do not constitute the transaction of business in Virginia within the meaning of § 13.1-757 or other similar provisions in Titles 13.1 or 50 of the Code of Virginia.

****NOTE**** >> Check the following box if you have not completed any of the foregoing options but currently have pending before the SCC an application for authority to transact business in the Commonwealth of Virginia and wish to be considered for a waiver to allow you to submit the SCC identification number after the due date for proposals (the Commonwealth reserves the right to determine in its sole discretion whether to allow such waiver):

ATTACHMENT E
PROPRIETARY/CONFIDENTIAL INFORMATION
SUMMARY FORM

SECTION/TITLE	PAGE NUMBER(S)	REASON(S) FOR WITHHOLDING FROM DISCLOSURE

*Identify the reason for withholding from disclosure in accordance with the Code of Virginia § 2.2-4342F.

ATTACHMENT F
SUBCONTRACTOR APPROVAL REQUEST

Contractor Name _____

Contract

No. _____

No portion of the work (including equipment) shall be subcontracted to another firm or individual **without prior written consent** of Virginia Department of Transportation (herein referred to as VDOT). In the event that the Contractor desires to subcontract some part of the work specified herein, the Contractor shall furnish VDOT with the names, qualifications, and experience of their proposed subcontractors for agency approval. The primary contractor shall, however, remain fully liable and responsible for the work performed by its subcontractor(s) and shall assure compliance with all requirements of the contract.

List proposed subcontractor(s), including name, address, contact person, and type of work to be performed under this contract below.

FIRM INDIVIDUAL'S NAME & ADDRESS	CONTACT PERSON AND PHONE NUMBER	TYPE OF WORK TO BE PERFORMED
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

1
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12

TYPE OF EQUIPMENT PROPOSED SUBCONTRACTOR WILL PROVIDE

QUALIFICATIONS / EXPERIENCE LEVEL OF PROPOSED SUBCONTRACTOR

11

Indicate below if any of the above proposed subcontractors are SBSB-certified as Small, Small Women-owned or Small Minority-owned Businesses, and if the original proposal response included plan for utilization of small businesses, submit revised Attachment B, Small Business Subcontracting Plan, Section B. Plan for Utilization of DMBE-Certified Small Businesses.

Company Name: _____

Certification Number: _____

FOR DOT USE ONLY:

The proposed subcontractor(s) listed above is/are approved and accepted under the terms and conditions of the contract requirements herein.

Signature of Contract Officer

Date

Telephone Number

ATTACHMENT G

FEE PROPOSAL

Appraisal Contract

VDOT Project No.: _____

Appraisal (Number) _____

Appraisal Fee _____

(Please provide a breakdown in proposal)

Firm: _____

By: _____

Title: _____

Date: _____

ATTACHMENT H – FIRM DATA SHEET

Project No.: _____

Right of Way and Utilities Division

The prime consultant is responsible for submitting the information requested below on all firms on the project team, both prime and all subconsultants. All firms are to be reported on one combined sheet unless the number of firms requires the use of an additional sheet. Proposals not including all of the required data will not be considered.

Firm's Name and Address	Firm's DBE/SWaM Status *	Firm's Age	Firm's Annual Gross Receipts

* YD = DBE Firm Certified by DMBE N = DBE/SWaM Firm Not Certified by DMBE
 NA = Firm Not Claiming DBE/SWaM Status
 YS = SWaM Firm Certified by DMBE. Indicate whether small, woman-owned, or small business.

ATTACHMENT I

SPECIAL TERMS AND CONDITIONS

1. **RIGHT OF WAY DIVISION TERMS:**

A. Title reports may not be available for certain appraisal assignments and in that situation the appraiser will be responsible for verifying ownership and obtaining relevant data needed to complete the appraisal.

B. The offeror's proposed Project Manager should be an employee that can be assigned to the Contract for the entire period.

C. The Department reserves the right, in its sole discretion, to divide assignments for a given Project among competing appraisal firms.

D. VDOT is required to capture DBE and SWaM payment information on all contracts. The successful prime consultant will be required to complete form ASD-63 (see Attachment C) for both state and federally funded projects on a quarterly basis.

2. **AUDIT:**

The Consultant shall retain all books, records, and other documents relative to the Contract for five (5) years after final payment. The foregoing document retention requirement may be extended by the Department with respect to documents related to courtroom appearances on its behalf.

3. **CLAIMS:**

The Consultant shall be responsible for the resolution of any and all damage claims resulting from operations provided herein. Within 30 days of VDOT's notification to the Consultant of a claim, the Consultant shall respond in writing to the claimant and copy VDOT. Failure to properly respond to and resolve claims in a timely manner constitutes unsatisfactory performance and may result in cancellation of the Contract and/or removal from the offeror list.

4. **CONFIDENTIALITY OF PERSONALLY IDENTIFIABLE INFORMATION:**

The Consultant assures that information and data contained in an appraisal or obtained as to personal facts and circumstances related to clients will be collected and held confidential, during and following the term of the Contract, and unless disclosure is required pursuant to court order, subpoena or other regulatory authority, will not be divulged without the individual's and VDOT's written consent and only in accordance with federal law or the Code of Virginia. The Consultant and its subcontractors who utilize, access, or store personally identifiable information as part of the performance of the Contract are required to safeguard this information and immediately notify VDOT of any breach or suspected breach in the security of such information. The Consultant and its subcontractors shall allow VDOT to both participate in the investigation of incidents and exercise control over decisions regarding external reporting. The Consultant and its employees and subcontractors working on a VDOT project may be required to sign a confidentiality statement.

5. **DELAYS IN AWARD:**

Delays in award of a contract beyond the anticipated starting date may result in a change in the contract period indicated in the solicitation. If this situation occurs, VDOT reserves the right to award a contract covering a period of time equal to or less than the initial term indicated in the solicitation.

6. **E-VERIFY PROGRAM:**

Pursuant to *Code of Virginia*, §2.2-4308.2., any employer with more than an average of 50 employees for the previous 12 months entering into a contract in excess of \$50,000 with any agency of the Commonwealth to perform work or provide services pursuant to such contract shall register and participate in the E-Verify program to verify information and work authorization of its newly hired employees performing work pursuant to such public contract. Any such employer who fails to comply with these provisions shall be debarred from contracting with any agency of the Commonwealth for a period up to one year. Such debarment shall cease upon the employer's registration and participation in the E-Verify program. If requested, the employer shall present a copy of their Maintain Company page from E-Verify to prove that they are enrolled in E-Verify.

7. **IDENTIFICATION OF PROPOSAL ENVELOPE OR PACKAGE:** The signed proposal should be returned in a separate envelope or package, sealed and identified as follows:

From: _____	_____	_____
Name of Offeror	Due Date	Time
_____	_____	
Street or Box Number	RFP No.	
_____	_____	
City, State, Zip Code	RFP Title	

SBSD-certified Small Business, DBE or SWaM No.: _____

Name of Contract Officer: Neil Hord

8. **LIMITATION OF LIABILITY:**

To the maximum extent permitted by applicable law, the Consultant will not be liable under the Contract for indirect, incidental, special or consequential damages, or damages from loss of profits, revenue, data or use of the supplies, equipment and/or services delivered under the Contract. This limitation of liability will not apply, however, to liability arising from: (a) personal injury or death; (b) defect or deficiency caused by willful misconduct or negligence on the part of the Consultant; or (c) circumstances where the Contract expressly provides a right to damages, indemnification or reimbursement.

9. **LIQUIDATED DAMAGES:**

Delivery is required not later than the dates stated by the Department in its purchase order or other written assignment of parcels to the Consultant. It is understood and agreed by the offeror that time is of the essence in the delivery of products and services of the character and quality specified in the proposal document. In the event these specified products and services are not delivered by the date specified there will be deducted, for each incomplete task on an assigned parcel, not as a penalty but as liquidated damages, the

sum of \$500.00 per day for each and every calendar day of delay beyond the time specified, which sum fairly represents an estimate of project delay damages and other expenses to which the Department is exposed as a result of such delay; except that if the delivery be delayed by any act, negligence, or default on the part of the Department, public enemy, war, embargo, fire, or explosion not caused by the negligence or intentional act of the Consultant or his supplier(s), or by riot, sabotage, or labor trouble that results from a cause or causes entirely beyond the control or fault of the Consultant or his supplier(s), a reasonable extension of time as the Department deems appropriate may be granted. Upon receipt of a written request and justification for any extension from the Consultant, the Department may extend the time for performance of the Contract, at the Department's sole discretion, for good cause shown.

10. **MINORS ON WORK SITE:**

No minors, under the age of eighteen, will be allowed on the VDOT work site(s) where the Contract will be performed, except those employed by the Consultant as allowed by the Child Labor Laws of the United States government and the Child Labor Laws of the Commonwealth of Virginia.

11. **CONSULTANT RESPONSIBILITIES:**

The Consultant shall be responsible for completely supervising and directing the work under this Contract and all subcontractors that he may utilize, using his best skill and attention. Subcontractors who perform work under the Contract shall be responsible to the Consultant. The Consultant agrees that he is as fully responsible for the acts and omissions of his subcontractors and of persons employed by them as he is for the acts and omissions of his own employees.

12. **PROPRIETARY INFORMATION:**

All information submitted to VDOT is subject to disclosure under the Freedom of Information Act (2.2-3700 et seq. of the Code of Virginia) unless a specific exclusion applies. To claim an exclusion under the Virginia Public Procurement Act (2.2-4300 et seq. of the Code of Virginia) for data or materials submitted as part of a procurement

transaction or prequalification application that the Consultant believes are trade secrets or proprietary information, the Consultant must file:

- 1) a written request, either before or at the time the data or materials are submitted, that:
 - Invokes the protection of 2.2-4342 of the Code of Virginia;
 - Identifies the specific data or other materials the Consultant seeks to exclude and protect by using some distinct method such as highlighting or underlining; and
 - States the reasons why protection is necessary, and
- 2) a redacted copy of your submittal that deletes or blocks all data or material which is identified as a trade secret or proprietary information in the written request.

Only identify the specific words, figures, or paragraphs that are claimed to be trade secrets or proprietary information. VDOT will not accept responsibility for any disclosure of proprietary information that is a result of improper redaction by the Consultant. The classification of an entire proposal document, line item prices, and/or total proposal prices as proprietary or trade secrets is not acceptable and will result in rejection of the proposal. If, after being given reasonable time the offeror refuses to withdraw an entire classification designation, the proposal will be rejected. Offerors must provide with their proposal a summary of any proprietary information using the Attachment E, Proprietary/Confidential Information Summary Form that is attached to this RFP.

13. **QUALIFICATIONS OF OFFERORS:**

VDOT may make such reasonable investigations as deemed proper and necessary to determine the ability of the offeror to perform the contract. Such investigations may include but are not limited to fingerprint-based criminal history background checks, credit checks, legal residence checks, or proof of US citizenship. The offeror shall furnish to VDOT all such information and data for this purpose as may be requested. VDOT further

reserves the right to reject any proposal if the evidence submitted by, or investigations of, such offeror fails to satisfy VDOT that such offeror is properly qualified to carry out the obligations of the contract and to complete the work/furnish the item(s) contemplated therein.

14. **RECORDS EXCLUSION FROM PUBLIC DISCLOSURE:**

Pursuant to the provisions of §2.2-3705.6 (22) of the Code of Virginia, trade secrets, as defined in the Uniform Trade Secrets Act (§ 59.1-336 et seq.), including, but not limited to, financial records, including balance sheets and financial statements, that are not generally available to the public through regulatory disclosure or otherwise, and revenue and cost projections supplied by a private or nongovernmental entity to the Inspector General of VDOT for the purpose of an audit, special investigation, or any study requested by the Inspector General's Office in accordance with law may, subject to a determination by the Inspector General as described herein, be withheld from public disclosure under the Virginia Freedom of Information Act (FOIA). To enable the Inspector General to identify data or records that may be subject to this exclusion from disclosure under FOIA the private or nongovernmental entity shall, in accord with procedures adopted by the Inspector General, make a written request to the Inspector General; invoking such exclusion upon submission of the data or other materials for which protection is sought; identifying with specificity the data or other materials for which protection is sought; and stating the reasons why protection is necessary.

The Assurance and Compliance Office of VDOT shall determine whether the requested exclusion from disclosure is necessary to protect the trade secrets or financial records of the private entity. VDOT shall make a written determination of the nature and scope of the protection to be afforded by it. Notwithstanding the foregoing, Consultant's failure to comply with the requirements stated herein and procedures established by the Inspector General for seeking an exclusion pursuant to §2.2-3705.6 (22) of the Code of Virginia shall result in a denial of the exclusion. Requests for exclusion that are submitted after data or other materials for which protection is sought have been submitted will be denied.

If litigation directly or indirectly results from or arises out of a granted exemption, the Consultant will be responsible for all litigation costs incurred by Consultant and/or VDOT associated with such litigation. In no event shall VDOT or its officers, employees or agents be liable to the Consultant as a result of any disclosure of records or data collected by the Department, its officers, employees or agents, pursuant to an audit, special investigation, or any study requested by the Assurance and Compliance Office, whether or not the Assurance and Compliance Office has determined that the requested exclusion from disclosure under FOIA is necessary to protect the trade secrets or financial records of the private entity, and in no event shall VDOT, or its officers, employees, or agents be liable to the Consultant for any damages or other claims arising directly or indirectly from a determination that the exclusion from public disclosure will not be granted.

15. **SAFETY AND HEALTH STANDARDS:**

It is a condition of the Contract, and shall be made a condition of each subcontract entered into pursuant to the Contract, that the Consultant and any subcontractor shall not require any worker employed in performance of the Contract to work in surroundings or under working conditions that are unsanitary, hazardous, or dangerous to their health or safety, as determined under construction safety and health standards promulgated by the US Secretary of Labor in accordance with Section 107 of the *Contract Work Hours and Safety Standards Act*. The Consultant shall comply with the Virginia Occupational Safety and Health Standards adopted under Section 40.1-22 of the *Code of Virginia* and the duties imposed under Section 40.1-51.1 of the *Code*. Any violation of the requirements or duties that is brought to the attention of the Consultant shall be immediately abated. Additionally at a minimum, all Consultant personnel shall comply with the following, unless otherwise determined unsafe or inappropriate in accordance with OSHA regulations: Hardhats shall be worn while participating in or observing all types of field work when outside of a building or outside of the cab of a vehicle, and exposed to, participating in or supervising construction. Respiratory protective equipment shall be worn whenever an individual is exposed to any item listed in the OSHA Standards as needing such protection unless it is shown the employee is protected by engineering controls. Adequate eye protection shall

be worn in the proximity of the grinding, breaking of rock and/or concrete, while using brush chippers, striking metal against metal or when working in situations where the eyesight may be in jeopardy. Safety vests shall be worn by all exposed to vehicular traffic and construction equipment. Standards and guidelines of the current *Virginia Work Area Protection Manual* shall be used when setting, reviewing and removing traffic controls. Flag persons shall be certified according to the Virginia Flagger Certification Program. No person shall be permitted to position themselves under any raised load or between hinge points of equipment without first taking steps to support the load by the placing of a safety bar or blocking. Explosives shall be purchased, transported, stored, used and disposed of by a Virginia Certified Blaster in possession of a current criminal history record check and a commercial driver's license with hazardous materials endorsement and a valid medical examiner's certificate. All federal, state and local regulations pertaining to explosives shall be strictly followed. All electrical tools shall be adequately grounded or double-insulated Ground Fault Circuit Interrupter (GFCI) protection.

16. **SECURITY REQUIREMENTS :**

A. All vendors, contractors or other persons accessing VDOT's CII/SSI material in any form shall be required to comply with VDOT's *CII/SSI Policy Guide for Employees, Vendors, Contractors or other Persons Accessing VDOT's CII/SSI*. This guide may be found at <http://www.virginiadot.org/business/const/CII-CriticalStructureInformation.asp>

B. A Criminal History Record Check (CHRC), through VDOT Personnel Security Section (PSS), shall be required of all employees of the Consultant and all subcontractors of the Consultant for work conducted at all other VDOT locations, where VDOT is directly responsible for the day-to-day management of staff, or the individual has unrestricted access to Critical Infrastructure (CI), Critical Infrastructure Information (CII), Sensitive Security Information (SSI), or Personally Identifiable Information (PII).

C. All costs for the CHRC will be the responsibility of the Consultant.

D. All individuals undergoing the CHRC shall be required to complete and sign any VDOT required forms necessary to release personal information or to agree to non-disclosure of VDOT critical, sensitive or personal information.

E. CHRC records search timelines vary depending on records found on file (if any). In the event non-favorable records exist, VDOT reserves the right to approve and deny issuance of any CHRC clearance. Upon denial, there are no available appeals.

F. Upon CHRC clearance and approval, Consultant and subcontractor (if any) personnel shall be required to obtain a VDOT issued access identification badge prior to working on VDOT's premises, unless a written waiver is approved by the PSS.

G. Consultant and subcontractor personnel shall wear VDOT-issued access identification badge at or above waist level on the outermost garment at all times while on VDOT's premises.

H. For safety concerns regarding the badge display requirement, the VDOT Personnel Security Section, Consultant Contracting Manager, Project Manager or designee may waive the above requirement. The Consultant's employees shall possess the VDOT access identification badge at all times to be available for display.

I. The Consultant shall return all VDOT access identification badges on the day any employee is no longer assigned to VDOT's premises and upon contract expiration. The Consultant shall notify the VDOT Consultant Contracting Manager within eight business hours upon discovery of any lost, stolen or damaged access identification badge. Failure to return access identification badges or notify the VDOT Consultant Contracting manager that an access identification badge has been lost, stolen or damaged may be cause for debarment. See: Commonwealth of Virginia, Vendor's Manual Section 7.20.

J. The Consultant shall be responsible for notifying the PSS whenever an employee or subcontractor employee is charged with any criminal violation. Notification shall be made no later than the next regular business day of finding.

K. In the event of loss, suspected loss or compromise of any VDOT CII/SSI material, the Consultant having possession of the said CII/SSI material will immediately upon having knowledge of the loss, suspected loss or compromise of any VDOT CII/SSI material, notify the VDOT project manager. If the loss is a result of a theft or suspected theft, of either the actual CII/SSI material or any device containing or storing CII/SSI material, the Consultant will immediately file a report with a law enforcement agency having jurisdiction and forward a copy of the report to the VDOT Project Manager.

17. **SMALL BUSINESS SUBCONTRACTING AND EVIDENCE OF COMPLIANCE:**

A. It is the goal of the Commonwealth that 42% of its purchases be made from small businesses. This includes discretionary spending in prime contracts and subcontracts. All offerors are required to submit a Small Business Subcontracting Plan. Unless the offeror is registered as a SBSB-certified DBE or SWaM business and where it is not practicable for any portion of the awarded contract to be subcontracted to other suppliers, the Consultant is encouraged to offer such subcontracting opportunities to SBSB-certified DBE or SWaM businesses. No offeror or subcontractor shall be considered a DBE or SWaM business unless certified as such by the Department of Small Business and Supplier Diversity (“SBSB”) by the due date for receipt of proposals. If DBE or SWaM business subcontractors are used, the prime contractor agrees to report the use of DBE or SWaM business subcontractors by providing the purchasing office at a minimum the following information: name of DBE or SWaM business with the SBSB certification number, phone number, total dollar amount subcontracted, category type (small, women-owned, DBE, or minority-owned), and type of product/service provided.

B. Each prime contractor who wins an award in which a Small Business Subcontracting Plan is a condition of the award shall deliver to VDOT, on a quarterly basis, evidence of compliance (subject only to insubstantial shortfalls and to shortfalls arising from subcontractor default) with the Small Business Subcontracting Plan. Upon completion of the Contract, the Consultant agrees to furnish VDOT at a minimum the following information: name of firm with the SBSB certification number, phone number, total dollar amount subcontracted, category type (small, women-owned, DBE, or minority-owned),

and type of product or service provided. Payment(s) may be withheld until compliance with the plan is received and confirmed by VDOT. VDOT reserves the right to pursue other appropriate remedies for non-compliance to include, but not be limited to, termination for default.

C. Each prime contractor who wins an award valued over \$200,000 shall deliver to VDOT, on a quarterly basis, information on use of subcontractors that are not SBSB-certified DBE or SWaM businesses. Upon completion of the Contract, the Consultant agrees to furnish VDOT at a minimum the following information: name of firm, phone number, total dollar amount subcontracted, and type of product or service provided.

1. If the Consultant intends to subcontract work as part of its performance under this Contract, the Consultant shall include in the proposal a plan to subcontract to DBE or SWaM businesses.

18. **STATE CORPORATION COMMISSION IDENTIFICATION NUMBER:**

Pursuant to Code of Virginia, §2.2-4311.2 subsection B, an offeror organized or authorized to transact business in the Commonwealth pursuant to Title 13.1 or Title 50 is required to include in its proposal the identification number issued to it by the State Corporation Commission (SCC). Any offeror that is not required to be authorized to transact business in the Commonwealth as a foreign business entity under Title 13.1 or Title 50 or as otherwise required by law is required to include in its bid or proposal a statement describing why the offeror is not required to be so authorized. Indicate the above information on the SCC Form provided. Consultant agrees that the process by which compliance with Titles 13.1 and 50 is checked during the solicitation stage (including without limitation the SCC Form provided) is streamlined and not definitive, and the Commonwealth's use and acceptance of such form, or its acceptance of the Consultant's statement describing why the offeror was not legally required to be authorized to transact business in the Commonwealth, shall not be conclusive of the issue and shall not be relied upon by the Consultant as demonstrating compliance.

19. **SUBCONTRACTS:** No portion of the work shall be subcontracted without prior written consent of VDOT. In the event that the Consultant desires to subcontract some part of the work specified herein, the Consultant shall furnish VDOT the names, qualifications and experience of its proposed subcontractors using the form attached to the Contract as Attachment F. The Consultant shall, however, remain fully liable and responsible for the work to be done by its subcontractor(s) and shall assure compliance with all requirements of the Contract.
20. **TERMINATION OF CONTRACT:**
If the Consultant fails to provide quality goods or services in a professional manner and in accordance with applicable laws, regulations or proposal provisions, solely as determined by VDOT and, upon receipt of notice from VDOT does not correct the deficiency within a reasonable period of time (not to exceed seven (7) calendar days unless otherwise agreed to by VDOT), VDOT reserves the right to terminate the Contract by giving written notice to the Consultant. Upon termination VDOT may procure the services from another contractor in accordance with the Default term within the General Terms and Conditions.
21. **COMPLIANCE WITH LAW:**
The Consultant shall observe and comply with all federal, state, and local laws, regulations, ordinances, orders and decrees applicable to the services to be provided under the Contract, and shall indemnify, defend, and hold harmless the Commonwealth of Virginia, the Department, and all its officers, agents, and employees against any and all claims of liability arising from or based on the violation of any such law, regulation, order or decree, whether by the Consultant, employees of the Consultant, or any independent contractor engaged by the Consultant or associated with the Consultant in the performance of the work to be performed under this Contract, including the nondiscriminatory provisions set forth in Section C of the General Terms and Conditions attached to the Contract as Attachment A.

ATTACHMENT I – APPRAISAL DUE DATES

Project No.: 0606-088-654, RW-201, C-501; UPC 105464

Right of Way and Utilities Division

The appraisals for the Parcels listed on the Cover Sheet of the Consultant’s RFP will be due in accordance with the following schedule:

<u>Parcel Number</u>	<u>Appraisal Due Date</u> (expressed as NTP plus a number of days)
<u>004</u>	<u>NTP plus 30 business days</u>
<u>005</u>	<u>NTP plus 60 business days</u>